United States Department of Agriculture

United States Department of Agriculture
Animal and Plant Health Inspection Service
Plant Protection & Quarantine
4700 River Road
Riverdale, MD 20737

Permit to Move Live Plant Pests, Noxious Weeds, and Soil

Interstate Movement

Regulated by 7 CFR 330

This permit was generated electronically via the ePermits system

PERMITTEE NAME:	Jie He Ma	**PERMIT NUMBER:**	P526P-20-02756
ORGANIZATION:	H.M. Clause Co	**APPLICATION NUMBER:**	P526-200505-011
ADDRESS:	3720 Topaz Rd West Sacramento, CA 95691	**FACILITY NUMBER:**	535
MAILING ADDRESS:	3720 Topaz Rd West Sacramento, CA 95691	**HAND CARRY:**	No
		DATE ISSUED:	06/10/2020
PHONE:	530-4008661		
FAX:		**EXPIRES:**	**06/10/2023**
DESTINATION:	9241 Mace Blvd, Davis, CA 95618		
RELEASE:	No		

Under the conditions specified, this permit authorizes the following:

Regulated Article	Life Stage(s)	Intended Use	Shipment Origins	Originally Collected	Culture Designation
Bacteria	Any	Culture Collection	Continental U.S.	Originally Collected from Within the Continental U.S.	Collection and Storage
Fungi	Any	Culture Collection	Continental U.S.	Originally Collected from Within the Continental U.S.	Collection and Storage
Viruses	Any	Culture Collection	Continental U.S.	Originally Collected from Within the Continental U.S.	Collection and Storage

PERMIT GUIDANCE

1) This permit does not authorize movement or release into the environment of genetically engineered organisms produced with the regulated organisms described in this permit. Importation, interstate movement, and environmental release of genetically engineered plant pests require a different permit issued under regulations at 7 CFR part 340. Any unauthorized interstate movement or environmental release, including accidental release, of a regulated GE organism would be a violation of those regulations. Additional guidance and contact information for APHIS Biotechnology Regulatory Services, can be found at: https://www.aphis.usda.gov/aphis/ourfocus/biotechnology.
2) If an animal pathogen is identified in your shipment, to ensure appropriate safeguarding, please refer to http://www.aphis.usda.gov/import_export/animals/animal_import/animal_imports_an products.shtml
3) If a human pathogen is identified, please refer to the CDC Etiologic Agent Import Permit Program

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at http://www.cdc.gov/od/eaipp/
4) This permit DOES NOT fulfill the requirements of other federal or state regulatory authorities. Please contact the appropriate agencies, such as the U.S. Environmental Protection Agency, the U.S. Fish and Wildlife Service, the U.S. Food and Drug Administration, the Centers for Disease Control and Prevention, the APHIS Veterinary Services unit, the APHIS Biotechnology Regulatory Services, or your State's Department of Agriculture to ensure proper permitting.
5) If you are considering renewal of this permit, an application should be submitted at least 90 days prior to the expiration date of this permit to ensure continued coverage. Permits requiring containment facilities may take a longer period of time to process.

PERMIT CONDITIONS

USDA-APHIS issues this permit to Jie He Ma, H.M. Clause Co, West Sacramento, California. This permit authorizes the interstate movement of the listed regulated materials/organisms from the listed states.

This permit authorizes the use of the regulated materials/organisms for culture collection in the APHIS approved facility 535.

1.
- This permit is issued by the United States Department of Agriculture's Animal and Plant Health Inspection Service (APHIS). It conveys APHIS regulations and requirements for the material(s) listed on this permit. It does not reduce or eliminate your legal duty and responsibility to comply with all other applicable Federal and State regulatory requirements.
- The permit number or a copy of the permit must accompany the shipment.
- You must be an individual at least 18 years old, or legal entity such as partnership, corporation, association, or joint venture.
- You are legally responsible for complying with all permit requirements and permit conditions.
- If you violate any applicable laws associated with this permit, you may face substantial civil or criminal penalties. We may cancel all current permits and deny future permit applications.
- Without prior notice and during reasonable hours, authorized Federal and State Regulators must be allowed to inspect the conditions associated with the regulated materials/organisms authorized under this permit.

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2. The permit holder must:

- maintain a valid PPQ526 permit so long as the regulated materials/organisms are alive or viable,
- not assign or transfer this permit to other persons without APHIS PPQ authorization,
- maintain an official permanent work assignment, residence, or affiliation at the address on this permit,
- notify the Pest Permit Staff as soon as possible of any change in the permit holder's work assignment, residence, or affiliation,
- notify the Pest Permit Staff of the receipt of unauthorized and/or misdirected shipments of regulated materials/organisms,
- adequately mitigate environmental impacts resulting from unauthorized release of regulated materials/organisms and notify the Pest Permit staff immediately if one occurs,
- notify the Pest Permit Staff if the facility is damaged/destroyed or if you wish to decommission the facility,
- destroy all regulated materials/organisms prior to departure from the organization unless other arrangements are confirmed by the Pest Permit Staff.

Notifications to the Pest Permit Staff must be made via 866-524-5421 or pest.permits@usda.gov within one business day of the event triggering a notification.

3. This permit does not authorize movement or use of organisms listed in the Public Health Security and Bioterrorism Preparedness and Response Act of 2002. If any organism listed as a Select Agent is identified from materials associated with this research, the permit holder is required to notify APHIS, Agriculture Select Agent Services (AgSAS) immediately by phone at 301-851-3300 option 3, and within seven (7) days submit APHIS/CDC Form 4A (Report of Identification of a Select Agent or Toxin in a Clinical or Diagnostic Laboratory) to APHIS, AgSAS; 4700 River Rd, Unit 2, Riverdale, MD 20737 (see instructions at: https://www.selectagents.gov/resources/APHIS-CDC_Form_4_Guidance_Document.pdf). Failure to comply with this requirement is a violation of the Agricultural Bioterrorism Protection Act of 2002. For a complete list of Select Agents please visit:

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https://www.selectagents.gov/selectagentsandtoxinslist.html
Select agents include: *Peronosclerospora philippinensis (Peronosclerospora sacchari), Coniothyrium glycines (formerly Phoma glycinicola and Pyrenochaeta glycines), Ralstonia solanacearum, Rathayibacter toxicus, Sclerophthora rayssiae, Synchytrium endobioticum, Xanthomonas oryzae, Bacillus anthracis, Brucella abortus, Brucella melitensis, Brucella suis, Burkholderia mallei, and Burkholderia pseudomallei.*

4. Field-collected samples of infected plant material must be bare-root or washed free of soil prior to shipment to the permit holder.
   Plant samples deliberately inoculated with these organisms in a controlled environment such as a laboratory, growth chamber, or greenhouse that have been grown in sterilized soil or soilless mix may be shipped to the permit holder with attached soil or growing media.
5. All packages for transport must minimally consist of both inner/primary and outer/secondary packages securely sealed so that both are effective barriers to escape or unauthorized dissemination of the listed materials/organisms. The inner/primary package(s) will contain all regulated materials/organisms and must be cushioned and sealed in such a way that it remains sealed during shock, impact, and pressure changes that may occur. The outer/secondary shipping container must be rigid and strong enough to withstand typical shipping conditions (dropping, stacking, impact from other freight, etc.) without opening.
6. Upon receipt, all packages must be opened within a Class II or III biosafety cabinet in the approved containment facility identified above to prevent the potential dissemination of the package contents. Cultures must be in a sealed container during transport to or within the permit holder's assigned research facilities.
7. Plant inoculations are authorized in the lab or growth chambers within the APHIS approved Containment Facility identified above only as needed for the maintenance of viable cultures.
8. All maintenance activities must occur within the APHIS approved Containment Facility identified above that has been inspected and found adequate for containment of the organisms received under this permit. Access to this facility must be restricted to authorized personnel.
9. Standard Operating Procedures (SOPs) must be filed with, and approved by, the APHIS PPQ Pest Permit Staff at: email: pest.permits@usda.gov; phone: 866-524-5421; fax: 301-734-8700; address: 4700 River Road, Unit 133, Riverdale, MD 20737. All contact information must be kept current and the SOPs must be dated. If requirements in the permit conditions are more restrictive than the SOPs, permit conditions take precedence. APHIS PPQ must approve any changes to the SOPs before implementation.

   A list of all persons with access to the containment facility must be maintained and available upon request by Federal or State Regulatory Officials.

   All persons working with the regulated material/organism(s) must be trained on, and implement the permit conditions, and all APHIS approved SOPs governing the facility listed above.

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10. Modifications to the containment facility or any changes that affect the containment of the regulated materials/organisms must be approved by APHIS prior to making changes. Please contact the Pest Permit Staff (email: pest.permits@usda.gov; phone: 866-524-5421; address: 4700 River Rd., Unit 133, Riverdale, MD 20737; Fax: 301-734-8700).
11. Records must be kept of all organisms maintained under this permit. Minimally the record will consist of the name of the organism identified to the lowest taxon possible, the country, or US state/territory, where each isolate was collected, the date the isolate was received, and the date and how the organism was devitalized. These records must be made available to Federal and State regulators upon request.
12. This permit is valid only for the maintenance of isolates for a culture collection. Research in the lab or growth chamber beyond maintenance activities or research in the greenhouse or for field release requires a separate PPQ526 permit.
13. DEVITALIZATION AND WASTE DISPOSAL

    All regulated materials/organisms not retained for placement into a culture collection and all items coming in direct contact or exposed to the regulated materials/organisms must be sterilized/sanitized/decontaminated prior to removal from the authorized containment facility. This includes all items from shipping, culturing, care, and maintenance of these regulated materials/organisms. This requirement includes but is not necessarily limited to: packaging directly exposed to the regulated materials/organisms, substrates (culture media, soil, plant materials (food materials or host plants)), leftover/unused/unneeded live cultures, and dead specimens/cultures unless specified otherwise in the permit.

    Prior to disposal or reuse, you must treat all contaminated and all potentially contaminated materials by one of the following methods, either alone or in combination:

    1) autoclaved (see protocol below), 2) disposed of off-site by a facility holding a valid PPQ compliance agreement (organisms and/or contaminated waste must be stored in sealed containers prior to pick up by this company), 3) incinerated, 4) immersed in a minimum of a final concentration of 0.525 percent sodium hypochlorite (1 part fresh household bleach to 9 parts water) for at least 20 minutes, or 5) immersed in 70 percent alcohol for at least 30 minutes. Treated waste will be double bagged prior to disposal.

    Other sterilization methods are only allowed with prior written agreement from the USDA/APHIS PPQ Pest Permit Staff.

    If using an autoclave the following protocol must be used:
    a. Waste must be autoclaved at 121 Celsius (250 Fahrenheit) for a minimum of 30 minutes at 15 psi.
    b. Autoclave tape or other indicators must be placed on each load prior to treatment. The autoclave

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tape or other indicator on each container must be checked to verify color change before disposal.
c. The autoclave must be calibrated according to the manufacturer's instructions annually and a commercially available biological indicator kit that uses bacterial spores of Geobacillus stearothermophilus that are rendered unviable at 121 Celsius (250 Fahrenheit) must be used every three months.

OR

The autoclave must be calibrated according to the manufacturer's instructions every two years and a commercially available biological indicator kit that uses bacterial spores of Geobacillus stearothermophilus that are rendered unviable at 121 Celsius (250 Fahrenheit) must be used every two weeks.

d. A written record of the calibration and the biological indicator tests must be maintained. You must follow the manufacturer's instructions for the Geobacillus sterothermophilus and if any growth is observed, you must have the autoclave serviced and retested before it is used again for the regulated articles/organisms listed on this permit.

14. There is to be no further movement or distribution of the listed regulated materials/organisms within the United States and its territories unless the recipient holds, or is named as a responsible party on a valid PPQ526 permit for receipt of such materials/organisms.

END OF PERMIT CONDITIONS

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